



BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD.

(Corporate Identity Number : L67120DL1992PLC049038)

Regd. Office : 503, Rohit House, 3, Tolstoy Marg, New Delhi-110001

Tel.: 011-49800900 • Fax : 011-49800933

E-mail : commodities@bharatbhushan.com • Website : www.bbinvestments.in

January 11, 2023

Ref: BBFCB/BSE/2022-23

**To,
BSE Ltd.,
Corporate Relationship Department,
Phiroze Jeejeebhoy Towers,
25th Floor, Dalal Street,
Mumbai-400001**

SCRIP CODE: 511501

ISIN: INE900A01013

Sub: Submission of SDD Compliance Certificate for the quarter ended December 31, 2022

Dear Sir / Ma'am,

Pursuant to Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed herewith the SDD compliance certificate for the quarter ended December 31, 2022.

Kindly take the same on records.

Thanking you,

Yours faithfully,

For **Bharat Bhushan Finance & Commodity Brokers Ltd.**

**Nisha Ahuja
Director
DIN: 00001875**

Encls: a/a

KAVITAPAMNANI & ASSOCIATES

COMPANY SECRETARY

A -104, Lower Ground Floor,
Amar Colony, Lajpat Nagar-IV,

New Delhi-110024

Mobile No: +91-9811676217

Phone: 011 -41576217

E-mail: kavitasewani1@gmail.com

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Kavita Pamnani, Practicing Company Secretary (C.P No. - 11099) appointed by M/s Bharat Bhushan Finance & Commodity Brokers Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 (One) number of event during the quarter ended 31st December, 2022 and has captured One (1) number of the said required event.

I would like to report that the following non-compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: **Not applicable**

**For Kavita Pamnani & Associates
Company Secretaries**

**Kavita Pamnani
(Prop.)**

M. No. : F6288

C. P. No.: 11099

Date: 11.01.2023

Place: New Delhi

UDIN: F006288D002940296